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NO. 5675 P. 1

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Atty Docket No. 021154-000810US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner Behzad Peikari

Group Art Unit 2189

**OFFICIAL COMMUNICATION
FOR THE ATTENTION OF
EXAMINER BEHZAD PEIKARI**

CERTIFICATION OF FACSIMILE TRANSMISSION

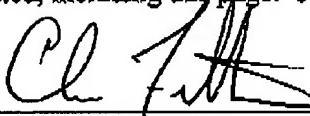
I hereby certify that the following documents in re Application of ALBERT LEUNG et al., Application No. 10/650,360, filed August 27, 2003 for OPTIMIZING STORAGE CAPACITY UTILIZATION BASED UPON DATA STORAGE COSTS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. SB/21 Transmittal Form (1 page)
2. Supplemental Information Disclosure Statement (2 pages)
3. PTO SB08A and SB08B (2 pages)

Number of pages being transmitted, including this page: 6

Dated: May 31, 2006


Christopher R. Fitting

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TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 650-326-2400
Fax: 650-326-2422
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PTO/SB/21 (09-04)

TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>		Application Number	10/650,360
		Filing Date	August 27, 2003
		First Named Inventor	Leung, Albert
		Art Unit	2189
		Examiner Name	Behzad Pelkari
Total Number of Pages in This Submission	6	Attorney Docket Number	021154-000810US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO SB08A and SB08B, Facsimile transmittal
		Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Townsend and Townsend and Crew LLP		
Signature	<u>S. B. Kotwal</u>		
Printed name	Sujit B. Kotwal		
Date	May 31, 2006	Reg. No.	43,336

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. 1-571-273-8300 on May 31, 2006.			
Signature	<u>Christopher R. Fiting</u>		
Typed or printed name	Christopher R. Fiting	Date	May 31, 2006

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I hereby certify that this correspondence is being sent by facsimile transmission to: Examiner Behzad Peikari

at Fax No.: 1-571-273-8300

On _____

3/6/06

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By: _____

PATENT
Attorney Docket No.: 021154-000810US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALBERT LEUNG et al.

Application No.: 10/650,360

Filed: August 27, 2003

For: **OPTIMIZING STORAGE
CAPACITY UTILIZATION BASED
UPON DATA STORAGE COSTS**

Examiner: Behzad Peikari

Art Unit: 2189

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98**

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A form are being called to the attention of the Examiner. Copies of the references are not enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference

ALBERT LEUNG et al.
Application No.: 10/650,360
Page 2

PATENT

should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Sujit B. Kotwal
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
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PTO/SB/08.A (07-05)

Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/650,360
		Filing Date	August 27, 2003
		First Named Inventor	Leung, Albert
		Art Unit	2189
		Examiner Name	Behzad Peikari
(Use as many sheets as necessary)		Attorney Docket Number	
Sheet	1	of	2
021154-000810US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ²	Number ³	Kind Code ⁴ (if known)			

Examiner Signature		Date Considered
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷Applicant is to place a checkmark here if English language Translation is attached.
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PAGE 5/6 * RCVAT AT 5/31/2006 5:59:29 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/0 * DNIIS:2738300 * CSID:1 650 326 2422 * DURATION (mm:ss):01:50

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Application Number</i>	10/850,360
				<i>Filing Date</i>	August 27, 2003
				<i>First Named Inventor</i>	Leung, Albert
				<i>Art Unit</i>	2189
				<i>Examiner Name</i>	Behzad Peikari
(Use as many sheets as necessary)				<i>Attorney Docket Number</i>	021154-000810US
Sheet	2	of	2		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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